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Nicole Davis is CCO / SVP Operations & Compliance for Northwest Financial Advisors (NWFA). In this expansive role, she oversees compliance, regulatory examination and audit as well as operations for the firm. She began her career with NWFA in 2016 as VP / Chief Compliance Officer in which she was responsible for managing NWFA's compliance with regulatory requirements and internal policies.

Her more than 25-year career in the financial services industry began in 1993 as a Regulatory Reporting Manager for the Financial Industry Regulatory Authority (FINRA). Nicole then worked for Bank of America as a Compliance Officer. In 2006, she joined LPL Financial, growing her career to a Service Center Manager, and subsequently, took a role as an LPL Senior Branch Examiner. Prior to joining NWFA, she held the role of VP, LPL OSJ Manager at Sandy Spring Wealth Management.

Nicole holds a Bachelor of Science degree in Business Administration and Management from University of Maryland University College. She also holds FINRA Series 7, 63 and 24 licenses with LPL Financial and the FINRA Series 65 license with Northwest Financial Advisors.

Nicole lives in Montgomery County, MD. She enjoys spending time with her husband, family and friends.

Contact Nicole at **703-810-1072 x103** or **ndavis@nwflc.com**.

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